



WD 1632 #  
BOX SEQ

PATENT  
ATTORNEY DOCKET NO. AURO1210-1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Zucker et al.  
Serial No.: 09/462,517  
Filed: May 18, 2000  
Title: COMPOSITIONS AND METHODS FOR IDENTIFYING MODULATORS OF  
TRANSDUCISOMES, A NEW CLASS OF THERAPEUTIC TARGETS

Art Unit: 1632  
Examiner Q. Nguyen

Commissioner for Patents  
Washington, D.C. 20231

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TRANSMITTAL SHEET

Sir:

Transmitted herewith for the above-identified application please find:

1. A copy of the Notice to Comply;
2. A Preliminary Amendment;
3. A Statement by Lisa A. Haile Under 37 CFR §§ 1.821(f) and (g);
4. A Verified Statement Under 37 CFR § 1.821(f);
5. A Petition for Extension of time;
6. A paper copy of the Sequence Listing;
7. A computer-readable form of the Sequence Listing; and
8. Return postcard.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are  
being deposited with the United States Postal Service as first class mail  
on 11-3-00, in  
an envelope addressed to: Assistant Commissioner for Patents,  
Washington, D.C. 20231

*Margaret J. Pierce*  
Margaret J. Pierce

In re Application of  
Zucker et al.  
Application No.: 09/462,517  
Filed: May 18, 2000  
Page 2

PATENT  
Attorney Docket No.: AURO1210-1

The Fee for this Response is calculated as follows:

For	Claims Remaining After Amendment	Highest Number Previously Paid For	Extra Claims	Large Entity Rate	Small Entity Rate	Calculations
Total Claims				x \$18.00	x \$9.00	\$0.00
Independent Claims				x \$80.00	x \$40.00	\$0.00
Multiple Claims				\$260.00	\$130.00	\$0.00
					TOTAL FEE	\$0.00

A check in the amount of \$195.00 is enclosed to cover the fee to file the two-month extension of time. The Commissioner is hereby authorized to charge any additional fees required by this filing, or credit any overpayment, to Deposit Account No. 50-1355. A copy of the transmittal sheet is enclosed.

Respectfully submitted,

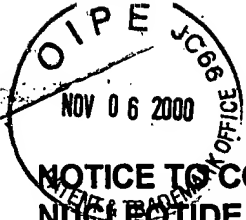
Date:

11/03/00



Lisa A. Haile, Ph.D.  
Reg. No. 38,347  
Telephone: (858) 677-1456  
Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP  
4365 Executive Drive, Suite 1600  
San Diego, California 92121-2189



Application No.: 09/462517

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

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**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

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For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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